ATTORNEY DOCKET NO.: 46483-0001 (229798)
(*formerly* UPN-4470/P2942)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
ACTING AS THE DESIGNATED/ELECTED OFFICE

In re: Patent application of John D. LAMBRIS

U.S. Appl. No. 10/528,496

I.A. No. PCT/US03/29653

I.A. Filing Date: 22 September 2003 (22.09.2003) Confirmation No.: 4405

For: COMPSTATIN ANALOGS WITH IMPROVED ACTIVITY

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INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, the references listed below and on the accompanying substitute Form PTO-1449 are being identified in the above-captioned application. In accordance with the *Official Gazette* Notice of August 2003 regarding 37 C.F.R. § 1.98(a)(2)(i), copies of U. S. patent references are not enclosed.

The references identified as Cite Nos. 9, 10 and 11 were cited in the International Search Report in corresponding International Application No. PCT/US03/29653. A copy of the International Search Report is enclosed for the Examiner's information.

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The Examiner is respectfully requested to review the items listed on the substitute PTO Form 1449 and make them of record in the instant application as required by M.P.E.P. §609.

The Information Disclosure Statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application, but before the mailing date of the first Office Action on the merits. Accordingly, no certification, petition, or fee should be required. However, should a fee be due, please charge the fee to Deposit Account No. 50-0573.

This Information Disclosure Statement should not be construed as a representation that the cited references are material or prior art, or that more relevant references do not exist.

Respectfully submitted,

Date: 2/2/2007

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SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE U.S. PATENT AND TRADEMARK OFFICE **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**	ATTY. DOCKET NO. 46483-0001-00-US 229798	APPLICATION NUMBER 10/528,496 (I.A. PCT/US03/29653
	FIRST NAMED INVENTOR John D. Lambris	
	FILING DATE To be assigned (I.A. 22 SEPT 2003)	ART UNIT To be assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number-Kind Code (*if known*)	PUBLICATION DATE (MM/DD/YYYY)	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	PAGES, COLUMNS, LINES, WHERE RELEVANT PASSAGES OR RELEVANT FIGURES APPEAR
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	2	4,576,750	03/18/1986	Pitzenberger	
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	FOREIGN PATENT DOCUMENT Country Code-Number-Kind Code (*if known*)	PUBLICATION DATE (MM/DD/YYYY)	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	PAGES, COLUMNS, LINES, WHERE RELEVANT PASSAGES OR RELEVANT FIGURES APPEAR	T
	11	WO 99/13899 A1	03/25/1999	TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA		
	12	WO 91/16345 A1	10/31/1991	RIJKSUNIVERSITEIT TE UTRECHT		
	13	WO 95/23512	08/09/1995	ALEXION PHARMACEUTICALS, INC.		

EXAMINER SIGNATURE		DATE CONSIDERED	

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NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIALS	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Publisher, city and/or country where published	T
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	30	SAHU, et al., "Binding Kinetics, Structure-Activity Relationship, and Biotransformation of the Complement Inhibitor Compstatin", *The Journal of Immunology* 165:2491-2499 (2000)	
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